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Approved for use through 10/31/2002. O U.S. Patent and Trademark Office; U.S. DEPARTMENT OF ersons are required to respond to a collection of information unless it displays a valid OMB control/number. Under the Paperwork Red Application Number 09/532,711 TRANSMITTAL 03/22/2000 **Filing Date** James W. Fosgate **FORM First Named Inventor** (to be used for all correspondence after initial filing) **Group Art Unit** 2747 **Examiner Name** Attorney Docket Number DOL07201 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Assignment Papers (for an Application) After Allowance Communication Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please **Extension of Time Request** identify below): **Terminal Disclaimer** PTO-1449 **Express Abandonment Request** Request for Refund Copy of cited references Information Disclosure Statement Return Receipt Postcard CD, Number of CD(s). **Certified Copy of Priority** Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Thomas A. Gallagher, Reg. No. 24,815 Individual name muas Signature 05/06/2002 Date

CERTIFICATE OF MAILING			
	pondence is being deposited with the United States Post		
mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:			0/5/06/2002
Typed or printed name	Karen M. Strange		
Signature	Karen M Strange	Date	05/06/2002



Applicant:

James W. Fosgate

Serial No.:

09/532,711

Filing Date:

March 22, 2000

Title:

Method for deriving at least

three audio signals from two

input audio signals

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May 6, 2002 San Francisco, California

Commissioner of Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56, Applicant respectfully submits the following information disclosure statement.

The disclosed references are:

- 1. US 4,799,260, issued January 17, 1989, Mandell, et al., filed February 26, 1986.
- 2. US 5,172,415, issued December 15, 1992, Fosgate, filed June 8, 1990.
- 3. US 5,428,687, issued June 27, 1995, Willcocks et al., filed December 14, 1992.
- 4. US 5,504,819, issued April 2, 1996, Fosgate, filed July 18, 1994.
- 5. US 5,625,696, issued April 29, 1997, Fosgate, filed April 2, 1996.
- 6. US 5,644,640, issued July 1, 1997, Fosgate, filed March 27, 1996.
- 7. US 4,589,129, issued May 13, 1986, Blackmer et al., filed February 21, 1984.

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- 8. US 5,862,228, issued January 19, 1999, Davis, filed February 21, 1997.
- 9. US 5,295,189, issued March 15, 1994, Fosgate, filed December 1, 1992.
- 10. European Patent Application 0 949 845 A2, European Patent Office; published 10/13/1999.
- 11. Japanese Patent Application No. 08222581; Japanese Patent Office; published 03/06/98.
- 12. Texas Instruments Incorporated, TL07_ Series Low-Noise JFET-Input Operational Amplifiers data sheet, 1996, Dallas, Texas.
- 13. Analog Devices, Inc., Dynamic Range Processors/Dual VCA SSM2120/SSM2122 data sheet, 1995, Norwood, Massachusetts.
- 14. Gundry, Kenneth, "A New Active Matrix Decoder for Surround Sound", AES 19th International Conference, June 21, 2001, Schloss Elmau, Germany.

Applicant also discloses as references the following related patent applications:

- 15. U.S. Patent Application Serial No. 09/454,810, Fosgate, filed December 3, 1999.
- 16. U.S. Patent Application Serial No. 09/602,585, Gundry et al., filed June 21, 2000.
- 17. U.S. Patent Application Serial No. 60/229,712, Fosgate et al., filed August 31, 2000.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), admission that the information cited is, or is considered to be material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Docket: DOL07201

A copy of each reference is enclosed herewith for the convenience of the Examiner.

Applicants respectfully request that the Examiner initial the cited references shown on the enclosed form PTO-1449 and that the references be made of record in the present application.

Respectfully submitted,

Thomas A. Gallagher

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Enc. Transmittal Form

PTO-1449

Copies of Cited References

Certificate of Mailing Under 37 CFR 1.8

I certify that this Information Disclosure Statement and any enclosed materials are being deposited with the United States Postal Service on May 6, 2002 with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231.

Thomas A. Gallagher